# EMERGENCY MAPPING GUIDELINES

**Working Paper** 

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# **Contents**

1.	INT	RODUCTION4	
	1.1	SATELLITE-BASED EMERGENCY MAPPING OVERVIEW4	
	1.2	BACKGROUND4	
	1.3	ABOUT THE GUIDELINES5	
2.	SAT	FELLITE-BASED EMERGENCY MAPPING (SEM)	
	2.1	DEFINITION7	
	2.2	FUNDAMENTAL PRINCIPLES	
	2.3	INTERACTIONS8	
	2.5	2.3.1 Information Exchange	8
		2.3.2 Levels of Interaction	
		2.3.3 Interaction Tools	11
	2.4	SHARING OF SATELLITE DATA, ANALYSIS AND MAPPING RESULTS F SEM12	FOR
		2.4.1 Definitions of SEM Sharing	12
		2.4.2 Use and Sharing of Reference Datasets	12
		2.4.3 Sharing of Satellite Imagery Data	
		2.4.4 Sharing of Analysis	
		2.4.5 Sharing of Delivered Emergency Mapping Products	
	2.5		13
	2.5	2.5.1 Reference/Pre-Event Map15	15
		2.5.1 References re-Event map	
		2.5.3 Situation Update, Event Monitoring Map	
	2.6		
		2.6.1 Map (Geographic) Frame(s)	
		2.6.2 Map Marginalia	
		2.6.3 Recommendations for Representation of the Different Thematic Layers	19
	2.7		
		2.7.1 Naming Conventions	
		2.7.2 Content of Layers	
		2.7.3 Raster Data	
		2.7.5 Web Services	
		2.7.6 Metadata	
	2.8	ASSURANCE OF CAPACITY AND QUALIFICATION22	
		2.8.1 Qualification/Capacity Levels	23
		2.8.2 Qualification Self-assessment	25
		2.8.3 Assurance of Qualifications and Quality - Perspectives	25
3.	EVE	ENT-SPECIFIC MAPPING GUIDELINES27	
	3.1	FLOOD SPECIFIC GUIDELINES	
		3.1.1 Scope	27

	3.1.2	Reference Map		28
	3.1.3	Flood Extent and Impact		31
	3.1.4	Monitoring of a Flood Situation		37
		Information for Disaster Risk Reduction		
4.	REFERENCE	ES	41	
5.	ANNEX A: I	IWG-SEM QUALIFICATION CHECK LIST	42	
6.	5. ANNEX B: GLOSSARY43			

#### 1. INTRODUCTION

#### 1.1 SATELLITE-BASED EMERGENCY MAPPING OVERVIEW

When large disasters occur, response organisations, from local first responders to international response coordinators, require timely, validated information that can be integrated into information products for efficient communication, understanding of the situation and ultimately for better decision-making. Over the past decade, satellite-derived maps and geographic information (GIS) data have increasingly been employed and gained acceptance in providing an objective means of assessing disaster affected communities. Imagery also provides means to identify the specific vulnerabilities within a community, intensity of the hazard and extent of impacts prior to more conventional means, such as field inspections. Although many of these products have in the past lacked timeliness, as imagery was not easily interpreted by non-imagery experts, or had uncertain levels of accuracy, there has been general consensus in their potential and they are being progressively improved.

#### 1.2 BACKGROUND

As technology and investments in remote sensing advance and the body of experience grows, satellite-based mapping is assuming a more formal and professional posture which has manifested in many community initiatives including, but not limited to the International Charter on Space and Major Disasters (Space Charter), the Group on Earth Observations (GEO), the UN-SPIDER programme mandated by the United Nations General Assembly (United Nations Platform for Space-based Information for Disaster Management and Emergency Response) or the UNITAR-based UNOSAT programme. Identifying a clear gap, the International Working Group on Satellite-Based Emergency Mapping (IWG-SEM) was founded in 2011 to provide a forum for collaboratively advancing the technical rigor of this discipline. During the initial meeting of interested parties in Hohenkammer, Germany in 2011, the group identified the lack of common procedures in communication and information exchange as one of the major problems needing to be solved. They agreed to form the IWG-SEM to remedy this through the development of a professional community and resources such as this document.

The IWG-SEM consists of experts representing a wide spectrum of satellite-based emergency mapping (SEM) capabilities, mandates and roles, but all share the common aim to improve the quality and consistency of SEM products. The IWG-SEM aims to do for the communication,

analysis of imagery and the development of products, what the Space Charter and other initiatives did for making satellite imagery data more accessible. Through the development of a community, common procedures and of a collaborative environment, SEM can become a more reliable and dependable information source in the international emergency management efforts. Whereas its member organisations may have a direct role in response, the IWG-SEM does not have nor aspire to have any active role in response operations. However, it can participate in an observational role during events and capture "lessons learned" for their integration into future responses.

Having a look at the past decade, rapid mapping experts have faced new challenges for cooperation, especially during large events like the 2004 Indian Ocean Tsunami, the Pakistan floods of 2010 and the 2010 Haiti earthquake. In the Haiti response, for example, many different providers generated more than 300 map products in the first two weeks, maps which followed totally different mapping procedures and showed various quality levels. A lack of coordination and common procedures inhibited the community from better distributing the workload and systematically assessing impacts and making best use of the imagery available.

In short, there was a plethora of data and expertise in that case, but a lack of a community with a common focus, which could have elevated the combined efforts beyond the sum of their individual contributions. Especially in larger disaster events, improved cooperation, harmonization and possibly even fusion of analytical results, as well as common emergency mapping procedures could greatly improve quality, reliability and availability of critical satellite-based emergency mapping results. This is what IWG-SEM will continue to pursue; the following guidelines are the first contribution to this endeavour.

#### 1.3 ABOUT THE GUIDELINES

The aim of these guidelines is to help support an effective exchange and harmonization of emergency mapping efforts leading to improved possibilities for cooperation amongst involved Emergency Mapping Organisations. This will facilitate the convergence of the mapping procedures and the thematic content across production teams in multiple response organisations, especially in the early response phases of disaster events. By enabling easier exchange, merging and quality checking of individual data/information layers generated by more than one Emergency Mapping Organisation, the final goal of enhancing coordination and community effectiveness can be achieved among those willing to engage.

The guidelines provide a framework, enabling the emergency mapping community to better cooperate during crisis times. To achieve this, the guidelines are structured as follows:

- a. Define fundamental principles
- b. Establish a procedure for interactions and sharing of data, analysis and mapping results
- c. Organize mapping products, templates and dissemination policies
- d. Anticipate problems of uncertainty in communication
- e. Commit to assurance of capacity and qualification
- f. Prepare a glossary for emergency mapping vocabulary

It is anticipated that a second part of the guidelines will be gradually developed at a later stage, focusing on geo-information/map production related to specific disaster types and on identifying a common document structure to be applied to the different disaster types.

This document and these guidelines will be reviewed and updated periodically, in order to integrate new best practices and to be responsive to evolutions in technology and end-user needs.

The IWG-SEM chair has the responsibility to initiate the review, as and when agreed within the Working Group.

Please send any comments, suggestions or feedback on this document to info@iwg-sem.org.

# 2. SATELLITE-BASED EMERGENCY MAPPING (SEM)

#### 2.1 DEFINITION

Satellite-based Emergency Mapping (SEM) is defined as the creation of maps, geo-information products and spatial analyses dedicated to providing situational awareness for emergency management and immediate crisis information for response by means of extraction of reference (pre-event) and crisis (post-event) geographic information/data from satellite or aerial imagery.

SEM derives mapping products that are largely useful in decision-making and that can be potentially used as input to other phases of the disaster cycle as well, such as the early recovery and the prevention phases.

#### 2.2 FUNDAMENTAL PRINCIPLES

Because there are many SEM organisations which might be involved in SEM of one disaster event, it is necessary to define basic rules on how these organisations can best interact and work together.

These fundamental principles describe the way the Emergency Mapping community should interact to create a reliable, trusted and well accepted environment for cooperation, to ensure the highest efficiency of the communication mechanism and to ensure the sustainability of the approach, independent of individual actors.

The SEM entities which commit themselves to cooperation should engage in an **open,** constructive and ethical manner. Practical examples of such are:

- Cooperation Provide constructive engagement in all dialogues. The SEM entities should not be passive but should positively contribute to the solution of the problem in hand and based on the technical framework provided in this document. As soon as two or more SEM entities are involved in Emergency Mapping of a particular event, an exchange of necessary information is recommended.
- Openness Be willing to share information on the activations and any metadata and analysis results to the extent possible, respecting all relevant licensing over data or analysis results. The SEM entities should be ready to share such information whenever their mandate, copyright conditions, intellectual property rights and political/security policies allow.
- Ethics & Integrity Apply proper referencing, copyright and citations for the sources of information and adhere to branding and marking agreements. The SEM entities will acknowledge (or properly credit) the work and results achieved by others.

#### 2.3 INTERACTIONS

In crisis times, information exchange (in particular exchange of geo-information) is crucial to the various players in the response community. This section provides recommendations on how information exchange and thus how interactions among SEM organisations can be established and performed.

#### 2.3.1 Information Exchange

Information exchange between SEM organisations is essential for subsequent cooperation. The information flow should include all organisations involved in Emergency Mapping. The information exchange should be as automatic as possible and it should contain the relevant amount of information.

SEM information exchange flow has four distinct phases:

- Initial phase
- In-production phase
- Delivery/dissemination phase
- Post-delivery phase

**The initial phase,** which occurs immediately after the need for Emergency Mapping is identified, includes defining the Area of Interest (AOI) and subsequent satellite imagery tasking. It also takes into account the End User inputs regarding the definition of the desired mapping products.

The initial phase of information exchange also needs to include information on the location, type of the disaster, the mapping requirements which will include the AOI and information regarding the "Authorized User" responsible for triggering the SEM mechanism. The most appropriate tool for quick and simple sharing of information during the initial stage is the GeoRSS feed (or similar future agreed standard) including the links to kml/kmz files or the link to a map layer in Google Maps for example. The timeliness of GeoRSS broadcasting is very important: the GeoRSS feed should be released as soon as the SEM mechanism is activated. The advantage of GeoRSS is that it can be ingested by commonly used software (Microsoft Outlook, RSS readers) as well as by specialized GIS software (QGIS, ArcGIS etc.). The kml/kmz file can be inspected and the corresponding link can be opened in the most common internet browsers (Internet Explorer, Mozilla Firefox, Google Chrome etc.). Thus the information from the initial phase can be shared not only with IWG-SEM members but also with other interested actors including civil protection agencies, international organisations, web-based alert systems, etc.

If possible, satellite imagery acquisition plans and imagery availability should also be shared by and between SEM entities and providers at this stage, using the same standards.

The information available during the initial phase should include the following:

- Type, date, time and approximate location of the disaster. The type of the disaster should adhere to fixed and agreed nomenclature (for example GLIDE Number). The date and time of the disaster should be as precise as possible; at best it should be provided by the "Authorized User" that activated the SEM. If this is not provided, the time of the disaster should be retrieved from other available sources (websites of civil protection agencies, disaster alert websites, newspapers, local authorities, international organisations working in affected areas, etc.). The approximate location of the disaster can be expressed as points with coordinates in longitude and latitude or as a bounding box or polygon. The points should be the approximate "epicentres" of the disaster that require mapping (e.g. the centerpoint of most affected region).
- A link to a kml/kmz file, a Google Maps map or to other file formats which can be easily opened in web browsers displaying the AOI for the mapping products extent, and for all the satellite imagery being tasked if possible. The description of the AOI should also include some characteristics of the desired mapping products such as what type of analysis layer should be produced with what type of satellite or aerial imagery.

The **in-production phase** should include information about mapping products such as the exact coverage, the intended content (e.g. map layers, detailed AOI, satellite spatial resolution category, satellite sensor type, type of analysis), as well as the metadata about the satellite data/images being used. This information set is more advanced and may be limited to those SEM organisations that are involved in the same emergency mapping activation. Because of the intense workload during the height of a response, it might be difficult to share this information in a timely fashion, especially if it is not generated automatically and substantial human intervention for information formatting or sharing is therefore needed. While KML, Google Maps links, or similar formats are preferable, other means of communication such as telephone calls and emails are also effective. The mechanism for this information sharing has to be chosen to best fit the purpose.

The delivery/dissemination phase of (geo-)information or map products should be done via web portals of the SEM organisations. The web portals should allow for subscriptions to automatic alerts. These alerts should be issued whenever there is a new mapping product available on the portal. This would allow sharing of the information within the DRM/SEM community, with a broad range of users reached, including the public.

The organisations involved in SEM are encouraged to establish and maintain their own method(s) of dissemination, including, but not limited to, telephone calls, emails, GeoRSS feeds etc.

The post-delivery phase allows the SEM organisations to collect the feedback from users on the delivered mapping products. The feedback should contain as much details as possible about the usability and accuracy of the maps, the timeliness of their delivery and any other useful information which could help to improve the overall usability of SEM.

Whenever possible and appropriate, the findings from the feedback should be shared with other members of the SEM community. This would encourage improvements and effective cross-learning among SEM organisations.

#### 2.3.2 Levels of Interaction

The purpose of the determining the levels of interaction is to efficiently communicate the involvement of the SEM organisations in the disaster. For example, in the case of a small local disaster, typically there would not be a requirement to set up a dedicated communication channel, because there will normally be only one entity working on mapping products. The levels of interaction will however become very relevant for larger scale disasters where more than one SEM organisation is involved and where such interaction can bring synergic benefits.

The following list provides the basic description of each level and a potential expansion. The basic level of engagement may be used to describe interactions with IWG-SEM members, or in the general discourse.

#### • Non-crisis situation:

- Level 0 Inactive/unavailable The SEM organisation is focused on internal projects or other related activities and does not have resources or mandated interest in supporting a specific activation.
- Level 1 Monitoring/On Call The SEM organisation is actively monitoring world or regional activities for potential SEM needs. A person/organisation is monitoring news sources and scientific early warning data for trends as well as receiving and filtering inputs from the community. They will use this information to decide when a notification needs broadcasting to other SEM organisations as an alert. The SEM organisation may have a list of "Authorized Users", who can trigger the Emergency Mapping Activation.

#### • Crisis situation:

- Level 2 Self-organisation (Small scale to medium scale crisis). The SEM organisation is providing support without a need for regulative coordination. Exchange of activity info and bilateral/multilateral communication will suffice to support the situation. Typically, only one SEM organisation is working on the emergency mapping activation. Other SEM organisations will be informed but there is no need for their active involvement.
- Level 3 Cooperation of multiple providers (medium to large scale crisis).
   Different mapping and/or satellite data providers (i.e. mechanisms like the Space Charter, COPERNICUS, Sentinel Asia and others) are active in the same SEM

activation. If a SEM community member requires coordination among all providers he/she should initiate communications among all known cooperating entities. The coordination roles should be clarified during the initial interaction. The coordination needs should be obvious from the initial phase of information exchange, where more than one SEM organisation is involved in the same disaster.

A specific tool to make this information, along with status updates, available to the SEM community should be developed.

#### 2.3.3 Interaction Tools

In addition to using GeoRSS/KML as interaction tools as described in chapter 2.3.1, following are other information channels which can be used for effective communications:

- 1. Email exchanges
- 2. Teleconferences using normal phones and mobile phones
- 3. Videoconferences using specialized teleconferencing equipment (e.g. tele/videoconference rooms, online services such as Webex of GoToMeeting).
- 4. Teleconferences and/or videoconferences over the internet (e.g. Skype)
- 5. Fax-based communication

The SEM list of contacts including mailing addresses, phone numbers, videoconferencing capabilities, etc. should be maintained within the IWG-SEM and always up-to-date.

#### 2.4 SHARING OF SATELLITE DATA, ANALYSIS AND MAPPING RESULTS FOR SEM

#### 2.4.1 Definitions of SEM Sharing

Useful sharing of the satellite data, baseline layers, analysis results and final mapping products depend very much on the circumstances of the event. It is assumed that SEM organisations will define the parameters of the Emergency Mapping Activation with the End User (s) who requested the mapping products. This information will be shared as needed within the SEM community if the disaster event is large enough to warrant job sharing among them. The shared work will be aggregated towards meaningful mapping products. The delivery of the mapping products to the user shall honour the End User needs and will not be negatively influenced by the sharing that has occurred among SEM organisations. Finally, in the case of agreed collaboration the sharing among SEM organisations is highly desirable and some basic principles are described below.

When two or more SEM organisations are preparing maps for the same crisis situation or disaster event, and if they coordinate the activities through a teleconference, it should be clarified how the overall mapping effort can be divided between the different cooperating entities and which organisation representative is the lead. The work allocation will depend on the number of users, the different languages in which the products should be delivered, the number of AOIs and the availability of resources at the SEM organisations. Some possible approaches to the division of responsibilities are: 1) by AOIs, 2) by analysis layers, 3) by processing step, 4) by time of availability of the SEM organisation or 5) by End User group (e.g. using the targeted language). These are some of the options that would allow sharing of the work load and speeding up the mapping process. All related activities should be performed based on the fundamental principles (see 2.2)

#### 2.4.2 Use and Sharing of Reference Datasets

Reference datasets would be representing various geographic features, or in the form of preevent satellite data etc. If they are public information and properly documented, it is possible they could already be shared between SEM organisations before a mapping campaign begins. Sharing reference datasets would improve the consistency and quality of products, especially in cases where several organisations are involved in mapping the same AOI. Furthermore, the use of official authoritative reference data to produce post-event analysis and maps would help the End User in integrating results in its own operational framework. If SEM organisations would like to share pre-event satellite imagery data during the campaign, they will need to consider the license limitation of the said data (see 2.4.3).

In general, the SEM community as well as any other data sources or data producers are encouraged to consider releasing any reference data developed or offered with an open or least-restrictive licensing policy. Such open data policies are necessary to ensure the unrestricted and timely sharing of and access to reference data, especially in emergency situations. Commercial satellite imagery providers are also encouraged to permit such data that

is derived from archival imagery they provide to be released with an open license, if possible, for the above reasons and given the value of such satellite imagery derived geospatial reference data in disaster management, and in combination with post disaster event imagery analysis as well.

The SEM community should first consider using well-known and already validated open data sources, both vector and raster (such as OpenStreetMap, or Google Mapmaker data if openly distributed for non-profit usage, the WorldPop, Landscan or GPW population data), freely accessible satellite imagery (such as Landsat, CBERS, Sentinels, the OrbView-3 collection, archived SpotImage data older than 5 years that might be released with a non-restricted license, etc.), elevation data models such as the recently-released SRTM v2 30m resolution global dataset (and its planned improved versions) or the SRTM 90m and GTOPO30 1km datasets.

Users are of course encouraged to use the highest quality and resolution datasets where possible, in developing specific reference maps or other reference datasets, as well as for any modeling as applicable. For example, the SRTM v2 30m data should be used whenever available, or similarly the WorldPpo gridded population data should be considered given its higher resolution and improved production process.

In future versions of this document, or in subsequent disaster-specific chapters, efforts will be made by the IWG-SEM community to include more detailed recommendations in terms of reference data usage, choices and joint data licensing approaches where possible or needed.

#### 2.4.3 Sharing of Satellite Imagery Data

Sharing of satellite imagery data may require special arrangements depending on the range of data licensing. Organisations participating in IWG-SEM should review the conditions of provision of satellite imagery data with their data providers. The IWG-SEM members need to inform the satellite data providers about the IWG-SEM efforts and work with them to determine if there are conditions under which the licenses could be revised to allow data sharing during certain SEM activations.

#### 2.4.4 Sharing of Analysis

Sharing analysis layers would allow a) more aggregated products (layers from different SEM organisations combined in one product), b) better quality (exchange layers of low quality with layers of high quality derived from more optimal data) and c) cross-check of layers among SEM organisations (more reliable ad-hoc products and enabling of offline validation).

If at least two SEM organisations are involved in the mapping of the same event, it could be desirable to share the analysis layers of the AOI(s) they are working on. Possible scenarios are:

There is no overlap between AOIs; each SEM organisation has unique AOIs. In this case analysis is done separately, but the preprocessing of the same/similar satellite imagery should be consistent between organisations, or one trusted organisation will be responsible for the pre-processing of all similar satellite imagery data. Each organisation must be ready to make available the process and workflow used to create their analysis layer so discrepancies in processing may be resolved jointly. Each SEM organisation should inform their respective End Users about products available for other AOIs so that they can enrich their map collection with the products coming from other SEM organisations. In case any End User expresses the need to cover the AOIs on which another SEM organisation is working using their own map specification, the other SEM organisation should provide the needed data layers to the organisation working on the mapping product.

All mapping products should be released with the least restrictive distribution conditions possible, possibly using Creative Common Licensing. The proper credits and acknowledgements must be visibly shown on all mapping products.

• There is full or partial overlap of AOIs. In this case, it is important to consider sharing the analysis results with the other SEM organisations. This would serve for cross-checking of the analysis and would enhance the quality of both mapping products. The preprocessing of similar satellite imagery should be consistent between SEM organisations, or one trusted SEM organisation should be responsible for the pre-processing of all similar satellite imagery data. Each SEM organisation must be ready to make available the process and workflow used to create their analysis layers so discrepancies in processing may be resolved. However, the End User has to receive the mapping products according to specifications agreed to with the SEM organisation doing said processing. These may be changed if different analysis produces different results. This would mean that two or more varieties of maps over the same AOI may exist because of different user specification, such as maps being provided in different languages. However, the cross-checking will allow for the enhancement of all the all maps.

#### 2.4.5 Sharing of Delivered Emergency Mapping Products

The final mapping products should be available on the public portal of the producing SEM organisation or that of the End User organisation responsible for the mapping. In addition to sharing the raster mapping products in a proper format (see 2.7.3) allowing them to be ingested in both specialized and generic software packages, it is recommended that the cooperating entities share the relevant activation metadata in a standard format (e.g. ISO, see 2.7.6)

All IWG-SEM members should provide the mapping products in the formats and with activation metadata which allows them to be ingested in both non-specialized (MS Office, OpenOffice etc.) and specialized software (QGIS, ArcGIS, ENVI, ERDAS etc.). This would allow effective sharing of the mapping products among IWG-SEM members and would enhance the product quality.

Organisations participating in the IWG-SEM are encouraged to establish a brokering agreement with GEO in order to make discovery and accessibility of the activation metadata, analysis and maps of the AOI9s) easy for the SEM End User.

#### 2.4.6 Use/Licensing/Copyright

Data/products dissemination policy, which may be different among the different SEM organisations, should be clearly stated on the mapping products. Use of logos is encouraged to provide End User an easy way to identify the emergency mapping framework to which the mapping products are related.

Whenever possible, the IWG-SEM should adhere to the GEOSS data sharing principles:

- There will be full and open exchange of data, activation metadata and products shared within GEOSS, recognizing relevant international instruments and national policies and legislation;
- All shared data, activation metadata and mapping products will be made available with minimum time delay and at minimum cost;
- Providing all shared data, activation metadata and mapping products free of charge or at no more than cost of reproduction/delivery will be encouraged for research and education purposes as well.

#### 2.5 MAPPING PRODUCT AND INFORMATION CONTENT

The goal of this section is to define the main types of emergency mapping products and to describe their main information content. These basic definitions will allow emergency mapping organisations to include common main types of information in specific mapping products, indirectly allowing:

- end users to be aware of what types of information they can expect to obtain from the different emergency mapping products;
- "authorized users" to request the most suitable mapping product.

#### 2.5.1 Reference/Pre-Event Map

The aim of a pre-event map is to quickly provide knowledge and overview on the territory and assets prior to the emergency. The content consists of selected topographic features of the area affected by the disaster event, in particular exposed assets and other available information that can assist the users in their specific crisis management tasks.

The reference map is based on available reference data and the pre-event (archive) satellite images when available. If pre-event satellite images are not available, the reference map will be based on reference data, the post-event satellite imagery and ancillary information from other resources.

#### 2.5.2 Impact/Delineation/Grading/Damage Level/Post-event Map

Delineation maps provide an assessment of the event impact and extent. Delineation maps are directly derived from satellite images acquired immediately after the emergency event. When relevant, they may be combined with digital elevation modelling and compared with archived information and data of similar event occurrences.

Additionally, damage level maps provide an assessment of the damage (and eventually of its evolution). Damage level maps are directly derived from satellite images acquired immediately after the emergency event. When relevant, they may be combined with digital elevation modelling and compared with archived information and data of similar event occurrences. Damage level maps can include the extent, type and damages specific to the event. They may also provide relevant and up-to-date information that is specific to critical infrastructures, transportation systems, aid and reconstruction logistics, government and community buildings, for hazard exposure and for displaced population monitoring etc.

#### 2.5.3 Situation Update, Event Monitoring Map

Impact/Delineation/Grading/Damage level/Post-Event maps can be further updated to provide an assessment of the evolution of the event impact and extent.

#### 2.6 MAP TEMPLATE

The goal of this section is to provide general guidelines on the map template structure, e.g. the items that should always be present in an emergency mapping map product (such as map legend, event description, data sources, grid/graticules, etc.), related to both the map (geographic) frame(s) (where the map layers are shown, section 2.6.1) and to the marginalia section (section 2.6.2).

As far as the visualization of the layers is concerned, only overall/general recommendations should be provided, since it is a difficult and a demanding task to define unique symbols/visualization styles, keeping into account all the existing mapping culture and practices (as well as specific map styles or formats distinguishing single emergency mapping organisations).

More detailed information on symbology/visualization related issues could be provided in the event-specific chapters within these Guidelines document, when and as they are developed, if general rules for specific disaster types can be identified.

The maps produced through SEM efforts should always have at least two main elements: map frame and map marginalia. They should consistently complement each other.

#### 2.6.1 Map (Geographic) Frame(s)

The map frame contains the geographical representation of the map contents (e.g. crisis information, general information, topographic features etc.), compliant with product typology, legend items and possible detailed user requests. Auxiliary elements like graticules and tick marks are included. The application of the following principles is recommended.

#### General

- The symbology of each map must ensure high readability; it may be necessary to *adapt* the symbology to each specific case.
- The chosen symbology must ensure that features are identifiable, distinguishable and linkable to the legend items even in the presence of the background image and of other symbols.
- Visibility levels (1 is the more visible), considering that it may be necessary to adapt the visibility to specific cases.
  - 1. Crisis information
  - 2. Settlements, utilities and transportation
  - 3. AOI
  - 4. Other legend items
  - 5. Graticule and tick marks
  - 6. Background image
- Credit the sources (copyright) in the data sources section.

#### Symbology

- Graphical symbol thickness must be used with care, as it may impact the overall readability. As a general guideline, the more dense the map (e.g. small scale, urban areas, many topographic features are requested by the user), the thinner the graphical symbols to be used (keeping colors and shapes as much as possible);
- Transparency must be used with care, as it will allow the background image color to appear and may easily lead to a change in the final perceived color. As a general

guideline, the more light, subtle, faded and uniform the background image is, the more transparency can be used;

 In the case where best practices or de-facto standards are available (e.g. the UNOCHA humanitarian icons and symbols set, widely circulated and reviewed), the SEM organisation is invited to consider their use in the mapping products, allowing the user an easier and faster interpretation of the map without spending additional time in looking at or learning the legend items.

#### Consistency

- Symbology used in different maps of the same crisis or disaster event category should be consistent;
- Symbology used in map frame and legend must be the same.

#### 2.6.2 Map Marginalia

Map marginalia contains the metadata of the map, allowing interpretation of the map frame contents. The key elements are the title/identifier, the cartographic information and the map legend.

**The map title** must at least contain: the location, type and date of the event. Other information like map type, production date, version number, GLIDE number can be included as well.

**The cartographic information** must at least contain: the scale ratio, scale bar, north arrow (when necessary), map size (i.e. paper size), and specifications of reference ellipsoid, reference datum and cartographic projection.

**The map legend** must be completely consistent with the map content (i.e. what is in the map contents is included in the legend and vice versa). It is recommended that the crisis information is on the top part of the legend.

Other sections recommended to be included in the marginalia are:

- Overview inset maps;
- Summary tables with main figures on exposure and consequences in the AOI;
- Text sections: map information, data sources, dissemination restrictions, map production process description;
- Copyrights and logos.

#### 2.6.3 Recommendations for Representation of the Different Thematic Layers

The following recommendations give indications on how the layers and their content could be represented. This possibly needs to be adapted to the data availablility and colours of the background image (satellite image, topographic map etc.).

#### 1.: Background information

Use a satellite image backdrop or a topographic map. If needed, modify the background layer by adjusting the saturation, transparancy, other settings, or include relief shading etc.

#### 2.: Damage/Impact layer

e.g. Normal water extent: dark blue

Depending on the colours of the background layer, the blue tone can be adjusted.

#### 3.: Infrastructure

All infrastructure information should be clearly visible on the map

City names should be large enough and readable. Recommendation is black for fonts, if needed in a filled box or with white halos

Road network should differentiate between the different level/quality of roads. Typical colours for roads are white, black and yellow

Railway lines should be in black-and-white strips.

#### 4.: Critical Infrastructure

Critical assets are often point features, like airports, embassies or bridges. Symbols or icons used to display the location of a point feature should be intuitive and clearly readable. If individual symbols are created, they should also be clearly and intuitively readable for international actors working in the crisis situations. The colours of the symbols need to be harmonised with the background and should therefore have a certain level of contrast to the background information.

#### 2.7 DATA DISSEMINATION

The aim of this section is to define common dissemination formats of the emergency mapping products defined in section 2.4, in terms of both raster/vector datasets as well as other web services. This section is focused only on the emergency mapping geo-products to be delivered to end-users and not on intermediate/raw products that could be shared during ongoing activations to facilitate the cooperation/coordination among different actors. The latter component is analysed and described in the sharing section (section 2.3.1).

#### 2.7.1 Naming Conventions

Filenames, related to both map products and the underlying layers, should be meaningful, without spaces, containing only letters, numbers and underscores. The file naming convention should be available and easily accessible to users, to allow a proper but quick interpretation of the file names in a short time.

The main information which should be contained in the file name is: Type of event, Country, Scale, Print size, Date, SEM organisation. Despite the fact that including these components in the file name will lead to long names, it will allow the user to have a preliminary knowledge of the product content without downloading or opening it.

An example of naming convention utilisation (as used in the SAFER project) is described below. The agreed naming structure is as follows (the F, G and H segments are optional and hence can be used according to the SEM organisation's wishes, see grey background):

#### Filename:

SERTIT\_SAFER\_RICHTER65\_P03\_14H\_carte\_situation\_50k\_A3\_18-12-2009\_veryhigh.pdf
SERTIT\_SAFER\_RICHTER65\_P03\_14H\_carte\_situation\_50k\_A3\_Date\_veryhigh.pdf

Part of Name	Rank	Attribute
Α	1	Map Producer
В	2	Funding Project
С	3	Exercise name / Charter Call Number if appropriate
D	4	<b>Product Number</b> – often this helps as a shorthand for referencing a product
E	5	<b>Product Name</b> - Placename and type of map product (event extent, event
		impact, reference), if applicable
F	6	Scale
G	6	Designed Map Print Size
Н	6	Date of production
1	5	Product Export Quality
J	- suffix -	Document format

#### 2.7.2 Content of Layers

Specific to each activation request and according to the disaster type and the user request, the following reference features could be included: hydrology, place names and administrative boundaries, physiography, settlements, transportation, industry and utilities. As far as the crisis layers are concerned, their information content should be defined and described in the envisioned second part of the Guidelines document, focused on specific disaster types.

#### 2.7.3 Raster Data

Commonly adopted raster data formats should be used for the raster map product dissemination, e.g.:

- Printable map
  - Full colour, ISO format
  - o Resolution: high = 300dpi; medium = 200dpi; low = 100dpi
  - GeoPDF file format
- Georeferenced map
  - Full colour ISO A1 format
  - o Resolution: high = 300dpi; medium = 200dpi; low = 100dpi
  - GeoTIFF, Georeferenced JPEG file format (with corresponding worldfile)

The advantages offered by the GeoPDF format could be taken into account thus, allowing the visibility of the different layers to be managed separately by the users. The use of ISO formats will also allow to print the map as A4 map tiles, to allow an easier handling of the map in the field or to cope with the impossibility to print on larger formats.

#### 2.7.4 Vector Data

Vector files of all the reference features as well as the ones derived during the analysis and interpretation stage should be disseminated using standard (or de-facto standard) formats, preferably developed in the context of ISO/TC211 or OGC, to grant high levels of interoperability, e.g.:

- ESRI shapefiles with corresponding projection files (.prj)
- Google Earth KML (or KMZ) format

#### 2.7.5 Web Services

In addition to file-based distribution mechanism and trying to move forward from the static map concept, the adoption of OGC compliant web services is highly encouraged allowing a more flexible access to the data, i.e. integration in both desktop and web-based GIS

applications and offering several data retrieval options (formats, coordinate systems, geographic subset, etc).

#### 2.7.6 Metadata

The metadata of digital feature datasets (including imagery) that are part of the deliverables have to be compliant with relevant international standards such as those developed within ISO/TC211. In addition, geographic projection information must be included in such digital feature datasets.

#### 2.8 ASSURANCE OF CAPACITY AND QUALIFICATION

The aim of this section is to describe the IWG-SEM approach to assure proper qualification of SEM community members (comparable to the INSARAG approach to classify the capacity of international urban search and rescue teams). At this stage no formal classification of production capacity/qualification is maintained by the IWG-SEM. However, general parameters and a self-assessment check-list on capacity/qualification is provided to allow involved/interested partners to self-assess their internal status and capacity. The overall target of this assurance approach is to:

- 1. ensure and improve the general level of quality of the emergency mapping products;
- 2. easily and quickly enable all participants to judge the capacity and qualification level of the other involved parties to adequately dispatch the work load in joint SEM activities;
- 3. provide the users of SEM products with an objective tool to assess the capacity/qualification of a SEM organisation and respective EM products by visualisation of the IWG-SEM logo in combination with a respective qualification status of the VA (Value Added) provider.

The Assurance of Qualification will consist of following parts:

- 1. An IWG-SEM logo that will be used if the mapping is performed following the IWG-SEM guidelines
- 2. A classification of the SEM organisation consisting of 3 categories: light, medium, strong
- 3. Recommendations for a future qualification procedure (to be prepared and carried out when not under emergency conditions and with a corresponding qualification test)

#### 2.8.1 Qualification/Capacity Levels

The qualification levels should be simple and intuitive while still being oriented to existing procedures in the disaster management context to easing the understanding for the users. Therefore, the approach of INSARAG is proposed to be adapted to the SEM environment, showing three categories of capacities: light, medium and strong. Those categories summarize the qualification/capacity of the SEM providers. The categories are aggregated to an overall score per SEM organisation, which will be used to assess the SEM qualification level.

#### 2.8.1.1 Qualification Classes and Related Specifications

The qualification levels summarize the capacity and technical qualification of the SEM provider in different categories. The categories can be used by those SEM organisations preparing themselves for the qualification/classification test. Categories are:

- SEM organisation temporal service availability: light (8/5), medium (8/5<24/7), strong (24/7)
- SEM organisation experience with global mechanisms (e.g. Space Charter): light (none), medium (<5 years), strong (>5 years)
- SEM organisation production capacity: light (single analysis layers), medium (single activations), strong (multiple activations)
- SEM organisation mapping product assurance: light (no QA), medium (only internal QA), strong (external QA)
- SEM organisation product thematic content reliability: light (no validation), medium (internal validation), strong (external validation)
- SEM organisation product delivery time: light (>16h), medium (8-16h), strong (<8h)
- Robustness of SEM production chain: light (ad hoc), medium (partially automated), strong (certified production chain / semi-automated)
- SEM Organisation language skills: light (com. Engl. / map: mother tongue), medium (com: Engl./map partially Engl.); strong (com., coord. Engl./ map routinely Engl.)
- Continuous improvement: light (none), medium (sporadic), strong (routinely)

The three levels of each category are defined in detail as follows:

- SEM Organisation temporal service availability:
  - light = 8 hours / 5 days a week (8/5) or less
  - o medium = better than 8/5 but not 24/7
  - strong = 24 hours / 7 days a week (24/7)

- SEM Organisation experience with global mechanisms (e.g. Space Charter):
  - light = no experience at all
  - o medium = up to 5 years
  - strong = more than 5 years
- SEM Organisation production capacity
  - light = provision of single Analysis Layer (no full Emergency Mapping Activation on its own)
  - o medium = 1 Emergency Mapping Activation on its own
  - o strong = more than 1 Emergency Mapping Activation, in parallel
- Product assurance
  - o light = no quality control before product dissemination / no map template / ...
  - medium = internal quality control before product dissemination
  - strong = internal QC following international standards/ ISO certified procedure or internal and external QC (offline)
- Mapping Product thematic content reliability:
  - light = no validations at all
  - medium = internal validations of analysis results (comparable products)
  - strong = external validations of analysis results (comparable products)
- Product delivery time
  - o light = First crisis product 16 hours after satellite data reception or later
  - medium = First crisis product 8 to 16 hours after data reception
  - o strong = First crisis product less than 8 hours after data reception
- Robustness SEM production chain:
  - light = ad hoc production, manual production; no automatic processes
  - medium = partly automated processes
  - strong = certified production chain / (semi-)automated processes
- SEM Organisation language skills
  - light = basic communication English / Product generation only mother tongue (no English)
  - medium = good communication English / Product generation partially in English
  - strong = very good communication and coordination skills in English / routine map production in English possible
- Continuous improvement
  - light = no user feedback gathered and integrated
  - medium = user feedback sometimes gathered/received and integrated

 strong = user feedback gathered after each activation systematically and integrated into product / service improvements (routinely)

A SEM Organisation is required to fulfil all subcategories at least with the level "light". This would then result in the classification of that capacity as "light" SEM team. The next levels would be "medium" and "strong" SEM team respectively.

Additionally, the SEM Organisation should commit itself to the IWG-SEM guidelines as provided in this handbook, especially the Assurance of qualification section. If so, the SEM provider may use the IWG-SEM logo in their SEM products. This will help the user to easily identify that the SEM provider knows and follows the agreed guidelines. The usage of the logo can be seen as a form of indirect quality indication as it will show that the SEM provider is internationally embedded in a cooperation framework and strategy, and that it will follow the given cooperation framework.

#### 2.8.2 Qualification Self-assessment

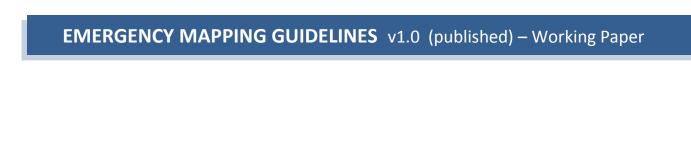
The Self-assessment of qualification and respective capacity will be performed using the assessment of qualification check list as provided in ANNEX A. The check list is meant as an indication for the SEM Organisation to evaluate their own level of qualification/capacity related to the SEM service provision which can also serve the users as a first impression on the classification of the SEM provider.

#### 2.8.3 Assurance of Qualifications and Quality - Perspectives

Applying the principles specified above, the IWG-SEM ensures a certain level of qualification and capacity within the community of satellite-based emergency mappers.

Due to the given framing conditions of the IWG-SEM, a more complex assurance of qualification and capacity is not feasible at this stage. Nevertheless, the IWG-SEM supports the idea and further evaluates the possibility to supplement the existing approach by implementing additional, more formal quality assurance elements such as an external approach to classification of qualification and capacity, inter-comparison exercises of SEM products, cross-validation and cross-checking of SEM products between mapping centers, real-time exercises to evaluate SEM products and to provide feedback for improvements, training curricula or webinars.

The idea to further elaborate the assurance of qualification and quality measures within IWG-SEM is a task to be discussed and worked on in the future, depending on the priorities and capacities of its membership as well.



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#### 3. EVENT-SPECIFIC MAPPING GUIDELINES

#### 3.1 FLOOD SPECIFIC GUIDELINES

#### **3.1.1** Scope

Floods are hydrological disasters caused when a water body (river, lake) overflows its normal banks or embankment because of rising water levels; and/or when, in the case of the saturation or freezing of the soil matrix, water discharges as surface flow or fills morphological depressions due to heavy rain or melting of snow or ice. Furthermore, floods can be caused by backwater effects and by special causes, such as the breaching of dams or extreme marine tides, storm surges or even tsunamis. A particular type of flooding is the flash flood or a sudden flooding with short duration, typically associated with thunderstorms. Floods and flash floods are also a common consequence of severe storm/frontal systems or the consequence of the landfall of cyclones, which are characterized by a low pressure centre, spiral rain bands, and strong winds. Depending on their location and strength, tropical cyclones are referred to as hurricanes (western Atlantic/eastern Pacific), typhoons (western Pacific), cyclones (southern Pacific/Indian Ocean), tropical storms, and tropical depressions (according to wind speed). Coastal lowlands are particularly vulnerable to storm surges which lead to coastal floods caused by rising sea water levels.

Whatever the cause of the flooding is, satellite imagery data can provide valuable information about flood water extent at a given time and for monitoring the development of a situation over a longer period. By using reference geo-information and digital elevation models, various forms of flood impact can be estimated, including the mapping of potentially affected infrastructure or settlements as well as that of flooded agricultural land for example. A unique benefit of satellite-based emergency mapping and monitoring of floods is its scalability through the use of satellites with different sensor types, spatial and temporal resolution and geographic coverage. Low to medium spatial resolution sensors can cover larger areas frequently, however, with coarser pixel spacing, whereas very high resolution sensors typically cover much smaller areas, however, these can be used to map at a higher degree of detail.

Experience indicates that emergency mapping for flood situations typically proceeds at two spatial scales: 1) low to moderate spatial resolution (100 – 300 m pixel size), however, with wide area coverage: so that an "event map" can cover the large areas e.g. affected by plain

floods; and 2) medium to high spatial resolution "detail" maps (1-100 m pixel size), so that the necessary detailed information for damage/impact assessment can be obtained.

Use of satellite sensors such as MODIS, VIIRS (and in the upcoming period, the Sentinel satellite sensors) with free public data access, offers a good tool for many organisations to generate and disseminate large area flood extent maps, and such products can also provide the temporal repetition rate of daily coverage for monitoring long lasting flood events. A wide variety of sensors, some with free access (Landsat 7 and 8, Sentinel 1 and Sentinel 2, other sensors as offered by individual satellite operators through specific agreements with the United Nations/UN-SPIDER) and others with limited commercial/scientific access, can all provide the more detailed observations, which will typically also be less frequent. Both types of flood mapping products are often relevant and complementary to each other: an isolated, detailed flood map, for example, does not provide the information needed to determine where and what was the course of a flood or whether the map shows the maximum flood extent or not. However, when integrated with repeated observations and respective monitoring products at a coarser spatial resolution (e.g., at 250 m, using MODIS data), detailed mapping can be accurately interpreted as covering a specific phase of the event (e.g. the pre flood-peak situation, or peak, or post flood-peak) for example.

Today, spatially explicit early warning information can be used to schedule data acquisition from satellites more effectively and with respect to the different flood phases. The precursors detected and analysed by flood Early Warning Systems (EWS) are using data collected from meteorological satellites, more recently from Global Precipitation Constellation satellites, as well as weather forecast and hydrological models, digital elevation models etc. Systems such as the European Flood Awareness System (EFAS) provide complementary flood early warning information up to 10 days in advance, indicating water levels exceeding normal thresholds at river section level. With appropriate geo-information available, flood vulnerability hot-spots can be better established from such forecasts.

#### 3.1.2 Reference Map

The aim of a reference map is to quickly provide information on a territory and its assets, showing the pre-event/pre-disaster situation. The reference map consists of basic geographic information and selected topographic features covering the area affected by the disaster, in particular exposed assets/infrastructure and other available information that can assist the users in their specific crisis management tasks.

The reference map is based on available reference data and pre-event satellite imagery (archived), if available. If pre-event imagery is not available, the reference map should be based

on reference geo-data (topographic data layers), possibly even the post-event imagery, and on any ancillary information from other resources.

With respect to flood events, the specific objective of reference maps is to provide information about the normal water bodies/hydrography in the affected areas as they existed before the flood event. This information is crucial e.g. for distinguishing the flooded areas from the normal water bodies.

Furthermore, the availability of geospatial reference data allows extracting assets/infrastructure that are also needed for the post-event maps.

#### **Definitions:**

Theme	Brief Description
Geographic reference	Refers to any geographic information that describes the pre-
data	event situation ("normal" or "non-crisis" situation) of the area of
	interest.
Normal water bodies	Indicate the detectable water bodies over a given area derived
	from the most pertinent data or maps, taking into account
	seasonal variations when possible

The following content should be included in a flood related reference map and be provided as individual information layers:

Map layer(s)	Reference
	Map (Floods)
1. Normal water extent/bodies <sup>1</sup>	***
2. Background information layer	
(e.g. archive/post-event optical	***
satellite imagery, topographic	
map)	
3. Points of Interest (as critical	
infrastructure <sup>1</sup> , important assets	**
such as airports, railroad stations,	
bridges, hospitals, embassies	

<sup>&</sup>lt;sup>1</sup> Critical infrastructure should be clearly indicated by appropriate, intuitive symbols and adequate colour coding. A good option is the free UN OCHA set of humanitarian symbols (icons): http://www.unocha.org/top-stories/all-stories/ocha-launches-500-free-humanitarian-symbols

\_\_\_

4. Infrastructure information (e.g. city names, road network, railway	**
net)	
5. Information on risk (e.g.	
vulnerability, exposure, modelled	*
risk areas (HQ100, HQ200),)	
6. Thematic information layers	
(e.g. land use/land cover, height	
information/DEM), population	*
density, potential evacuation	
areas, soil information)	

\*\*\* mandatory, \*\* recommended, \* optional

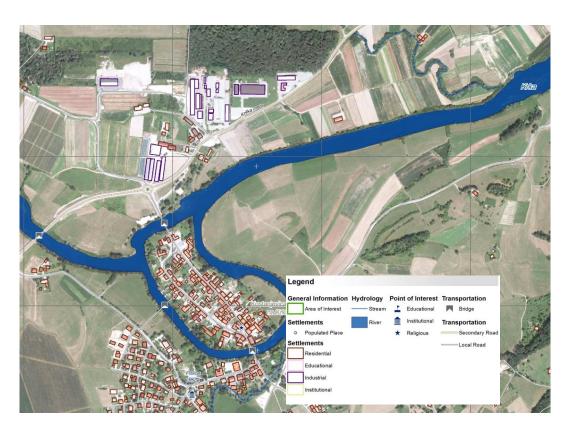


Figure 1: Example for a flood reference map - Source: Copernicus Emergency Management Service - Mapping. http://emergency.copernicus.eu/ (accessed 03/11/15):

http://emergency.copernicus.eu/mapping/system/files/components/EMSR102 03KOSTANJEVICA REFERENCE DE TAILO1 v1 300dpi.jpg)

#### **Vector data:**

The vector data(set) should clearly describe/contain each relevant information layer. All vector data should be accompanied by a respective metadata file (refer to section 2.7.6 of the general part of the guidelines).

#### Vector data filename:

Recommended vector data file naming conventions are described in the general part of the guidelines, in the section 2.7.1.

#### Recommendations for representation of the flood related layers:

The following recommendations give indication on how the layers and their content should best be represented. This possibly needs to be adapted to the data availablility and colours of the background image (satellite image, topographic map etc.).

*Water extent:* Normal water extent: dark blue. Depending on the colours of the background layer, the blue tone can be adjusted.

#### 3.1.3 Flood Extent and Impact

Flood extent and impact mapping addresses the mapping of flood waters using the set of input (satellite/aerial) post-event imagery and other data, as well as reference geo-information layers that are available and adapted to users requirements.

Flood extent mapping itself is different to impact mapping as, despite the fact that both involve the extraction of geo-information using satellite data acquired during a crisis, extent mapping focuses on the analysis of the extent of the areas covered by flood waters only. Impact mapping involves the identification of the potentially affected infrastructure (intersecting the crisis information, i.e. the flood extent, with reference geo-information relating to the affected area) and — if possible the actual assessment of their damage grade, highlighting transport and communication networks, buildings, other infrastructure and possibly agricultural lands affected by the flooding.

The expression "Flood extent" has synonyms that are frequently used in programs such as Copernicus EMS Rapid Mapping's "flood delineation". These are addressed in the Annex B (Glossary). Basically it is a layer highlighting flood waters and hence excluding reference or "normal" water bodies which should be adapted to the present flood's season. **Flood extent mapping provides** an assessment of the event's extent and is directly derived from satellite images acquired immediately after or during a flood event. If a layer does not differentiate

between flood and reference water bodies it should be called "crisis waterbody mapping" which can also be informative. When relevant, flood extent layers may be combined with digital elevation models and compared with archive information of similar events. It is always important to respect the original image's time/date stamp to provide the exact time reference for a given flood map.

Flood mapping can also include a scale of intensity or certainty of flooding in order to allow a differentiation of the crisis information based on specific criteria. An example would be the generation of a flood water class and a class marking "potentially flooded" sectors which are perhaps only partially flooded or where flood traces (moisture traces, mud deposits) are observed, leading to the conclusion that the area most likely was flooded shortly before the satellite data take.

Flood impact mappings can be quite different in character, when carried out in the "natural" or "human dominated" landscapes compared to when mapping affected critical infrastructure or environmentally sensitive sites. As stated above, it requires the availability of reference geo-information layers and the crisis/disaster flood extent mapping to support semi-automatic identification of the potentially affected infrastructures, and suitable post-event optical imagery at an adequate level of detail to estimate a potential damage grade. A good way of presenting the results, in addition to clearly displaying them on the map (Figure 1), is in tables within maps, or associated to these in tables.

Geo-statistical analysis of the impacted areas can then lead to aggregated flood impact indicators which can integrate socio-economic information and/or landuse/landcover information.

A resume of pertinent flood related information is proposed in the table below:

Theme	Brief Description
Normal water bodies	Indicate the detectable water bodies over a
	given area derived from the most pertinent
	data, taking into account seasonal variations
	when possible
Crisis/disaster event water bodies	A layer highlighting all water bodies in a
	given area including normal water bodies
Flood extent	All floodwater bodies and traces at a certain
	(acquisition) date except for the normal
	water body extents

Impact assessment	Map indicating potentially damaged/flooded
	buildings, infrastructure, flooding of
	vegetation/agricultural fields, serious bank
	erosion/channel displacement

Furthermore, the following content should be included in the map as separate information layers. Depending on the individual user needs or the availability of data, the final product may look different. A level of importance is also given with respect to the appearance of layers within map types:

	Flood	Flood
Map layer(s) \ Crisis map types	Extent	Impact
	Maps	Maps
1. Flood extent	***	***
2. Crisis/disaster event water	*** <sup>2</sup>	*** <sup>2</sup>
bodies <sup>2</sup>		
2. Normal water extent/bodies	***	***
3. Information on impact, e.g.		
affected infrastructure, urban		
areas, that can appear graphically		***
in maps and as statistics in tables		
in or associated with maps		
4. Points of Interest (as critical		
infrastructure, important assets		
such as Embassies, airports,	**	**
railroad stations, bridges,		
hospitals		
5. Infrastructure information (e.g.		
city names, road network, railway	**	**
net)		
6. Thematic information layers		
(e.g. land use/land cover, height	*	*
information/DEM), population		

<sup>&</sup>lt;sup>2</sup> Alternative to 1. Flood Extent

density, potential evacuation		
areas, soil information)		
7. Background information layer		
(e.g. archive/post-event optical	***	***
satellite imagery, topographic		
map)		

\*\*\* mandatory, \*\* recommended, \* optional

As general guideline for visualising flood layers it is recommended to display "normal water bodies/levels" on top of the flood extent layer. Use a bright colour to avoid confusion between normal water bodies and flood extents.

If the flood extent layer is highly accurate and reliable in delineation it is recommended to use a semi-transparent, filled polygon with smooth boundaries and no outline to indicate the extent (Figure 2). In cases of low accuracy, use stripes (Figure 3).

Objects located within the boundaries of the flood extent are possibly affected by the flood; however it is often not possible to derive the degree or level of impact on a given infrastructure or asset. Anyhow, there is a higher probability for objects being affected if they are situated closer to the centre of the flood polygon and thus closer to the centre of the disaster extent and are this deeper submerged by the water. I.e. building "C" is more likely to be affected the flooding than building "A" (Figure 2 / Figure 1). Such difference may be reflected in the interpretation and visualisation of the flood situation. It is important to note that such interpretation always based on model/geometry assumptions and should only be done with great care and good hydrological knowledge of the situation.

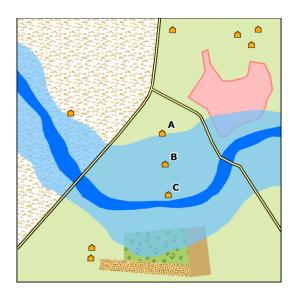


Figure 2: Use a semi-transpartent filled poygon to display reliable flood extent layers

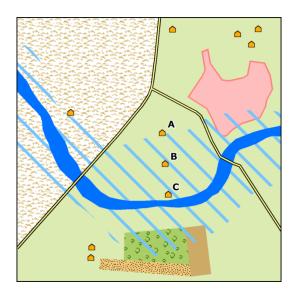


Figure 3 Use open stripes for displaying of low accuracy delineation to visualize a degree of uncertainty



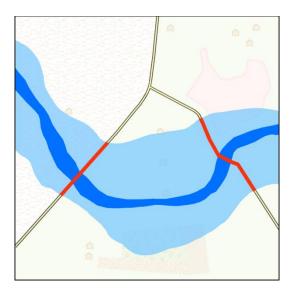


Figure 4: Crossing of <u>highly reliable</u> flood layer with linear infrastructre / road layer

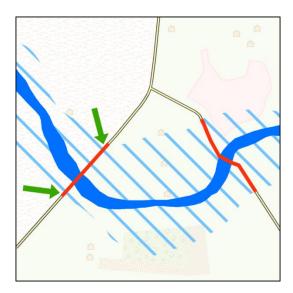


Figure 5: Crossing of poorly reliable flood layer with linear infrastructre / road layer

Figure 4/Figure 5 show conceptual considerations and visualisation issues when crossing highly reliable or poorly reliable flood layers with other geospatial features in the map. It is important to note, that any type of crossing of flood extent layers with geospatial reference information should take considerations of reliablity and accuracy into account. This is even more the case for flood mappings attached with a high degree of uncertainty.

#### 3.1.4 Monitoring of a Flood Situation

Even though floods often occur as meteorological sudden-onset events, they can last for weeks to even months, as clearly demonstrated by the floods in Pakistan in July to September 2010<sup>3</sup>.

Monitoring the evolution of the flood event is crucial for assessing the rate of increase and/or retreat of flood waters, as well as to identify potential new damages (or changes in damage level) to critical infrastructures (e.g. dam breakage, bridge collapse). By exploiting the increased revisit time of present VHR optical and radar satellite constellations and/or the daily acquisitions of lower resolution sensors (e.g. MODIS), it is technically possible to capture a nearly daily coverage of the whole event, allowing the peak-flood level to be charted.

Consequently, flood extent and flood impact maps can be updated through the analysis of upto-date satellite imagery. This allows monitoring of the event and provides information for rescue and recovery operations.

**Monitoring maps:** as a minimum information content, monitoring maps should display the updated flood extent information together with normal water bodies as well as the extent of surface water as of a specific date. The different water layers need to appear with a clear indication of the relevant time stamps, highlighting the imagery acquisition date/time and its technical specifications (GSD, sensor type, etc.).

Monitoring maps can include several previous flood extent information layers. Therefore, particular attention should be paid to symbols/legends that should always grant the map readability, allowing a clear understanding of the areas of water increase/retreat (e.g. no filled polygons to be used) and the related time stamps. Multiple monitoring cycles are difficult to represent in a single map showing the complete flood evolution in all its different stages. A possible alternative for coping with the issue is to reduce the number of flood layers displayed in the map, limiting them to current extent and maximum flood extent (envelope of all the previous flood polygons).

As far as the colour coding is concerned, it is suggested to adopt filled polygons without outlines using different shades of light blue as filling colours (e.g. use the lightest blue for the oldest information, see examples in Figure 6), considering that dark blue is generally adopted for the reference water bodies. Use of transparency may allow a better interpretation of the current flood situation with respect to the previous analyses.

<sup>&</sup>lt;sup>3</sup> http://en.wikipedia.org/wiki/2010\_Pakistan\_floods

# Legend Crisis Information Flooded Area (23/05/2014 16:33 UTC)

Old flooded area (21/05/2014 09:02 UTC)



Figure 6: It is suggested to adopt filled polygons without outlines if the flood layers can be derived with a high level of reliablility. For example light blue an show the "older" information while darker blue shows the newer information layer. Trasparency my allow beter interpretation due to better readability of the layer context.

#### Vector files:

Vector delivery should follow the same vector structure (also in terms of file naming convention) of extent/impact flood maps, to grant consistency with datasets already delivered: normal water bodies and the updated flood extent should therefore be always present.

Vector metadata (i.e. the timestamp associated to each flood polygon) leaves the possibility to derive additional GIS datasets (such as the polygons related to flood increase and draw-off areas compared to a certain date) at later stage, considering the tight time constraints of a rapid mapping service.

#### 3.1.5 Information for Disaster Risk Reduction

Once the emergency phase of a flood event is over – whereby this point in time is sometimes difficult to define exactly – the recovery phase will start. Once the first responders and relief

organisations/agencies have mostly left, very often national and local institutions do not receive sufficient support for dealing with the aftermath of a flood event. The Post Disaster Needs Assessment (PDNA) carried out jointly by World Bank, UN and EU provides the basis for establishing the required donor support for large-scale events, e.g. after the floods in the Western Balkan in 2014. In general, the assessment of significant landscape/surface changes resulting from an event is paramount for any flood situation - independent of scale. If not already included in an activation of and emergency mapping services, the comparison of the pre- to post-event situation imagery reveals the scale and scope of the changes and the impact (e.g. land use, land cover, infrastructure). In practical terms, this can be achieved by detecting the changes between the reference and impact map and/or respective pre/post event imagery.

To guide reconstruction/rehabilitation efforts, the exposure and vulnerability assessment provides the most crucial information for planning and implementation. In cases where these assessments are already available before the event, the re-assessment will reflect the new baseline and indicate where vulnerability hot spots are and previous risk reduction measures have been successful or failed. Exposure maps locate the elements at risk for a probable/historic hazard (HQ50, HQ100). This could already be included in a more advanced reference map. Vulnerability analysis (Figure 7) goes one step further by taking into account the sensitivity of assets and people to be harmed (e.g. by floods) and their resilience (this refers to capacities to cope, to be prepared, and to recover).

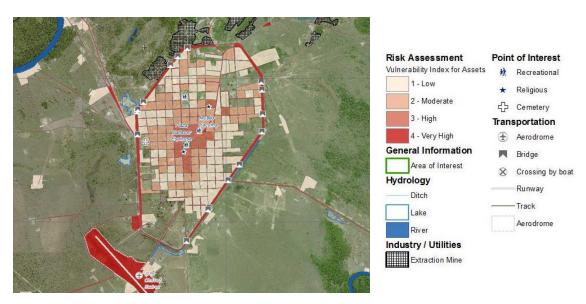


Figure 7: Economic Vulnerability to Floods – Santa Ana del Yacuma, Rio Mamore, Bolivia
The vulnerability index refects exposed assets and estimated building costs (Copernicus EMS, activation EMSN014; http://emergency.copernicus.eu/mapping/list-of-components/EMSN014

The important information for planning risk reduction measures is the location of vulnerability hot spots either in exposure (to guide rehabilitation, relocation), sensitivity, or resilience (strengthen preparedness, coping capacity, or the potential to recovery). At this point, emergency response crosses over into regional development by providing essential information for e.g. land use changes required to reduce the exposure to floods.

#### 4. REFERENCES

INSARAG - International Search and Rescue Advisory Group - GUIDELINES AND METHODOLOGY:

http://www.insarag.org/images/stories/INSARAG Guidelines-2012 ENG- Read version.pdf

GIO-EMS mapping in rush mode product portfolio specifications:

http://web.jrc.ec.europa.eu/callsfortender/index.cfm?action=app.showdoc&id=11662

**UN-SPIDER Knowledge Portal:** 

http://www.un-spider.org/

UN-SPIDER Knowledge Portal - compilation of satellite imagery and geospatial data sources: <a href="http://www.un-spider.org/links-and-resources/data-sources">http://www.un-spider.org/links-and-resources/data-sources</a>

UN-SPIDER Knowledge Portal - satellite imagery and geospatial datasets links:

http://www.un-spider.org/links-and-resources/data-sources/data

UN-SPIDER Knowledge Portal - Data Application of the Month:

http://www.un-spider.org/links-and-resources/daotm

Dartmouth Flood Observatory:

http://floodobservatory.colorado.edu/

Global Flood Partnership:

http://gfp.jrc.ec.europa.eu/

Committee on Earth Observation Satellites (CEOS) Working Group on Disasters - Flood Pilot Project:

http://ceos.org/ourwork/workinggroups/disasters/floods/

Comments or other contributions are welcome, and should be addressed to info@iwg-sem.org

# 5. ANNEX A: IWG-SEM QUALIFICATION CHECK LIST

Quality category	Level	Specification	Check
Availability	light	8 hours / 5 days a week (8/5) or less	
	medium	better than 8/5 but not 24/7	
	strong	24 hours / 7 days a week (24/7)	
Experience with	light	no experience at all	
global mechanisms	medium	up to 5 years	
	strong	more than 5 years	
Capacity	light	provision of single analysis layers	
	medium	1 activation on its own	
	strong	more than 1 activation in parallel	
Product quality	light	no quality control before product	
	medium	internal quality control	
	strong	internal QC following international	
Product reliability	light	no validations at all	
	medium	internal validations of analysis results	
	strong	external validations of analysis results	
Product delivery light slower than 16 hours (		slower than 16 hours (for 1st crisis	
time	medium	8 to 16 hours (for 1st crisis product)	
	strong	faster than 8 hours (for 1st crisis product)	
Robust production	light	ad hoc production, manual production; no	
chain	medium	partly automated processes	
	strong	certified production chain	
Language skills	light	only mother tongue (no English)	
	medium	English (only)	
	strong	English (fluent) and one other language	
Continuous light		no user feedback gathered and integrated	
improvement	medium	user feedback sometimes	
	strong	user feedback gathered after each	

# 6. ANNEX B: GLOSSARY

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Activation Metadata	The metadata information describing the important details of the disaster event (for example the type of event, date of event, spatial extends etc.), they should be provided by the SEM Organisation. They are crucial in the initial phase to enable an effective cooperation.
Analysis Layer	The information derived from satellite or aerial imagery separated in different, consistent digital GIS layers (e.g. street net, points of interest, disaster extent, damage assessment).
Authorized User	An organisation with the right to trigger a generic data procurement mechanism or a generic emergency mapping service for the disaster.
Collaborative Mapping	Creation of maps for the same disaster by more than one SEM Organisation, either in separate lines or in a commonly coordinated and harmonized way (by dispatching the job by AOI, Analysis Layer, time of engagement.
Data Procurement Mechanism	The mechanism through which the imagery are acquired (for example International Charter, Sentinel Asia, GSC-DA GIO-EMS etc.)
Satellite-based Emergency Mapping or Emergency Mapping	Creation of Mapping Products/value adding based on satellite or aerial imagery dedicated to emergency management and response.
Emergency Mapping Activation referred also as SEM Activation or Activation	The value adding activity with the aim of performing Emergency Mapping using satellite-based or aerial imagery as the main source of data. The Emergency Mapping Activation is usually triggered by the Authorized Users before (in the case of reliable early warning), during and after the disaster.
SEM Organisation	The organisation with the capacity to perform Satellite-based Emergency Mapping or Emergency Mapping Also called: "Value Adder", "Value Adding Company", "Rapid Mapping Entity".
End User also User	The organisation using the Mapping Products for their needs, typically related to disaster management or humanitarian crisis.
Mapping Product alternatives:	The geographic digital datasets and ready-to-print layers and/or maps containing the information about

Map Product Disaster Map "emergency response product" (Safer), "product" (GIO-EMS, DLR-ZKI), "image product" (International Charter), "cartographic products "	disaster extent, damage extent, damage grade complemented with conventional map elements. Also called:  "Emergency Response Product" (SAFER),  "Product" (GIO-EMS, DLR-ZKI),  "Image Product" (International Charter),  "Cartographic Product" (SERTIT)
(SERTIT) Product Metadata	Information associated with a specific Mapping Product, describing the content, specifications and characteristics (ISO, INSPIRE).
AOI	Area of Interest. The part of the earth surface to be covered by Emergency Mapping.
GeoRSS	It is the web feed with the geolocation embedded into them. Geolocation can be expressed as point, line or polygon. They can be consumed by both common feed aggregators and geographic software (including map generators).
GLIDE Number	Globally common unique ID code for disasters (see www.glidenumber.net)